



# Two Mergeable Data Structures

Disjoint-Set 并查集 & Leftist-Tree 左偏树

# Disjoint-Set(Union-Find Set) 并查集

- N distinct elements into a collection of disjoint sets.
  - Op1: Find which set a given element belong in  
I.e. Judge if two elements are in the same set
  - Op2: Unite two sets
- N个不同的元素组成不相交集集合
  - 操作1: 寻找给定元素所属集合  
(判断两个元素是否在同一集合)
  - 操作2: 合并两个集合

# An Example of Disjoint-Set

Operation	Disjoint sets					
<i>Initialization</i>	{a}	{b}	{c}	{d}	{e}	{f}
<i>Merge(a,b)</i>	{a,b}		{c}	{d}	{e}	{f}
<i>Query(a,c)</i>	<b>False</b>					
<i>Query(a,b)</i>	<b>True</b>					
<i>Merge(b,e)</i>	{a,b,e}		{c}	{d}		{f}
<i>Merge(c,f)</i>	{a,b,e}		{c,f}	{d}		
<i>Query(a,e)</i>	<b>True</b>					
<i>Query(c,b)</i>	<b>False</b>					
<i>Merge(b,f)</i>	{a,b,c,e,f}			{d}		
<i>Query(a,e)</i>	<b>True</b>					
<i>Query(d,e)</i>	<b>False</b>					

# Naive Algorithm

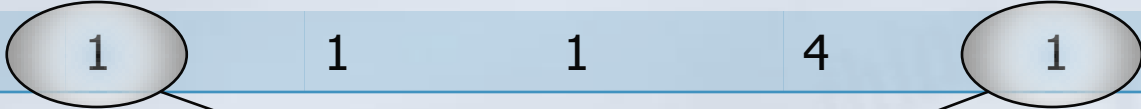
- Assign each set a label. 给集合编号

<i>Op</i> \ <i>Element</i>	<b>{a}</b>	<b>{b}</b>	<b>{c}</b>	<b>{d}</b>	<b>{e}</b>	<b>{f}</b>
	1	2	3	4	5	6
<i>Merge(a,b)</i>	1	1	3	4	5	6
<i>Merge(b,e)</i>	1	1	3	4	1	6
<i>Merge(c,f)</i>	1	1	3	4	1	3
<i>Merge(b,f)</i>	1	1	1	4	1	1

# Naive Algorithm

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*Query(a,e)*

# Naive Algorithm

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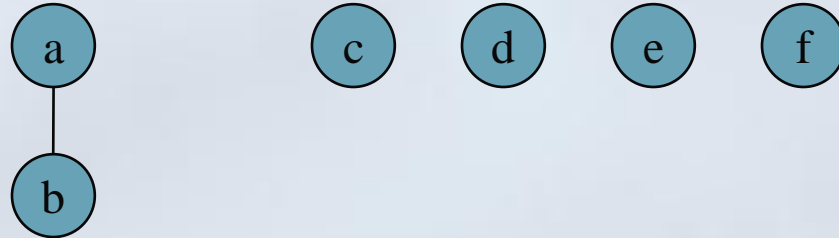
- Query –  $O(1)$ ; Merge –  $O(N)$

# First Look – Tree Structure

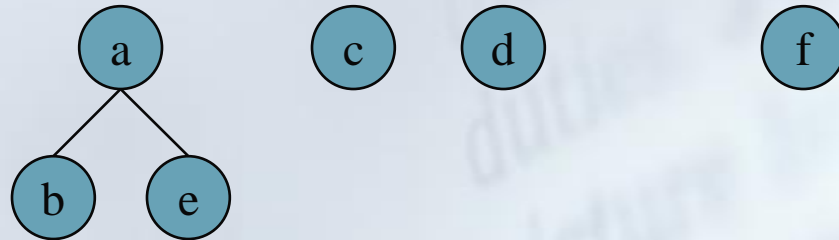
## ■ Init:



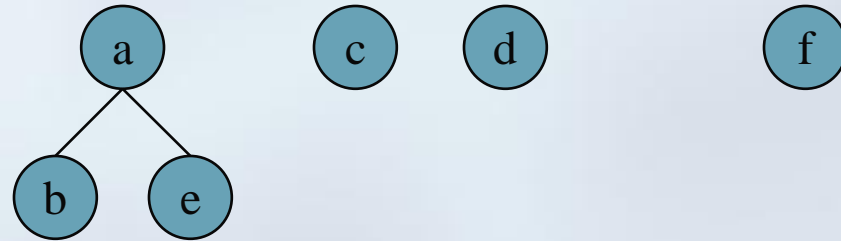
## ■ *Merge(a,b)*



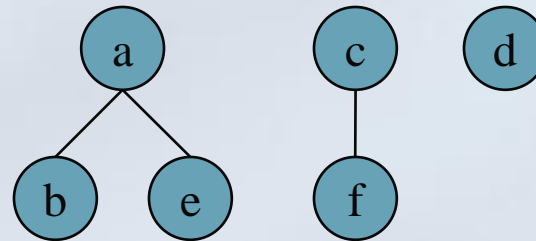
## ■ *Merge(b,e)*



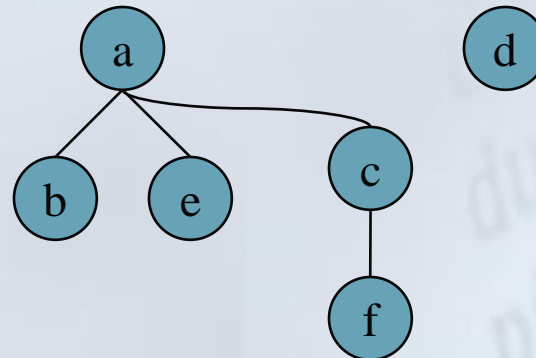
# First Look – Tree Structure



## ■ *Merge(c,f)*



## ■ *Merge(b,f)*



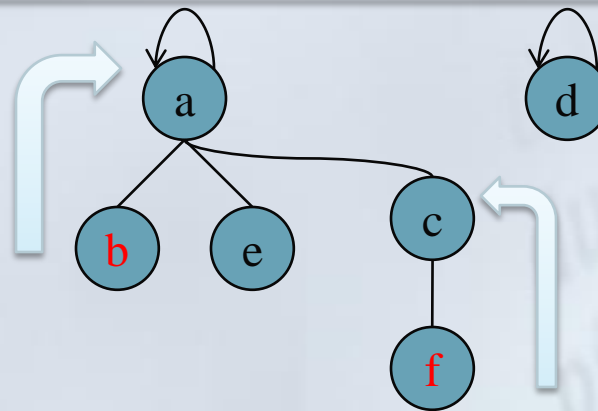


# First Look – Tree Structure

## ■ *Merge(b,f)*

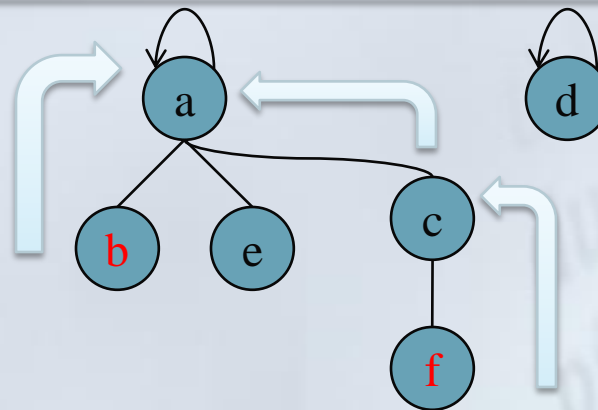
- Attach  $f$ 's tree as the **direct subtree** of  $b$ 's
- 将 $f$ 所在树挂为 $b$ 所在树的**直接子树**

- $\text{Par}[i]$  indicates  $i$ 's father node;  $\text{Par}[i]=i$  for roots



# First Look – Tree Structure

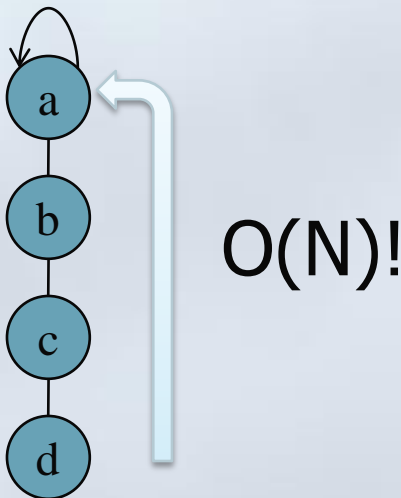
- $Query(b, f)$ 
  - Simply compare the roots of  $b$ 's tree and  $f$ 's tree
  - 简单比较**b**和**f**所在树的根节点是否相同



# First Look – Tree Structure

- *Weakness*

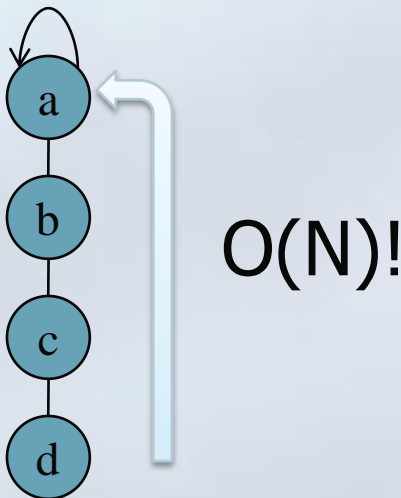
- *Merge(c,d), Merge(b,c), Merge(a,b)*
- *Query(d,\*)*



# First Look – Tree Structure

## ■ *Weakness*

- *Merge(c,d), Merge(b,c), Merge(a,b)*
- *Query(d,\*)*

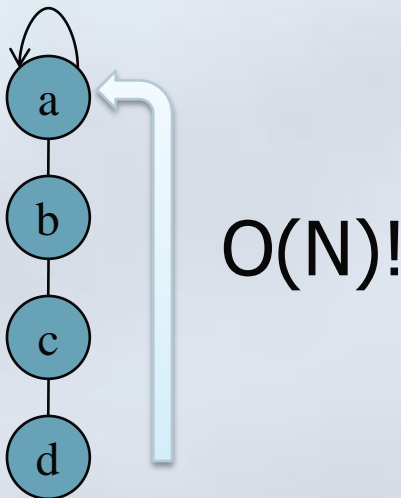


Merge –  $O(1)$ ; Query –  $O(N)$

# First Look – Tree Structure

## ■ *Weakness*

- *Merge(c,d), Merge(b,c), Merge(a,b)*
- *Query(d,\*)*



*Merge –  $O(N)$ ; Query –  $O(N)$*

# Improve One – Union by Rank

- For each node, maintain a *Rank* that is an upper bound on the height of that subtree
- 每个点维护一个 *Rank* 表示子树最大可能高度
- Root with smaller rank is made to point to root with larger rank in Merge operation.
- 较小 *Rank* 的树连到较大 *Rank* 树的根部。

# Improve One – Union by Rank

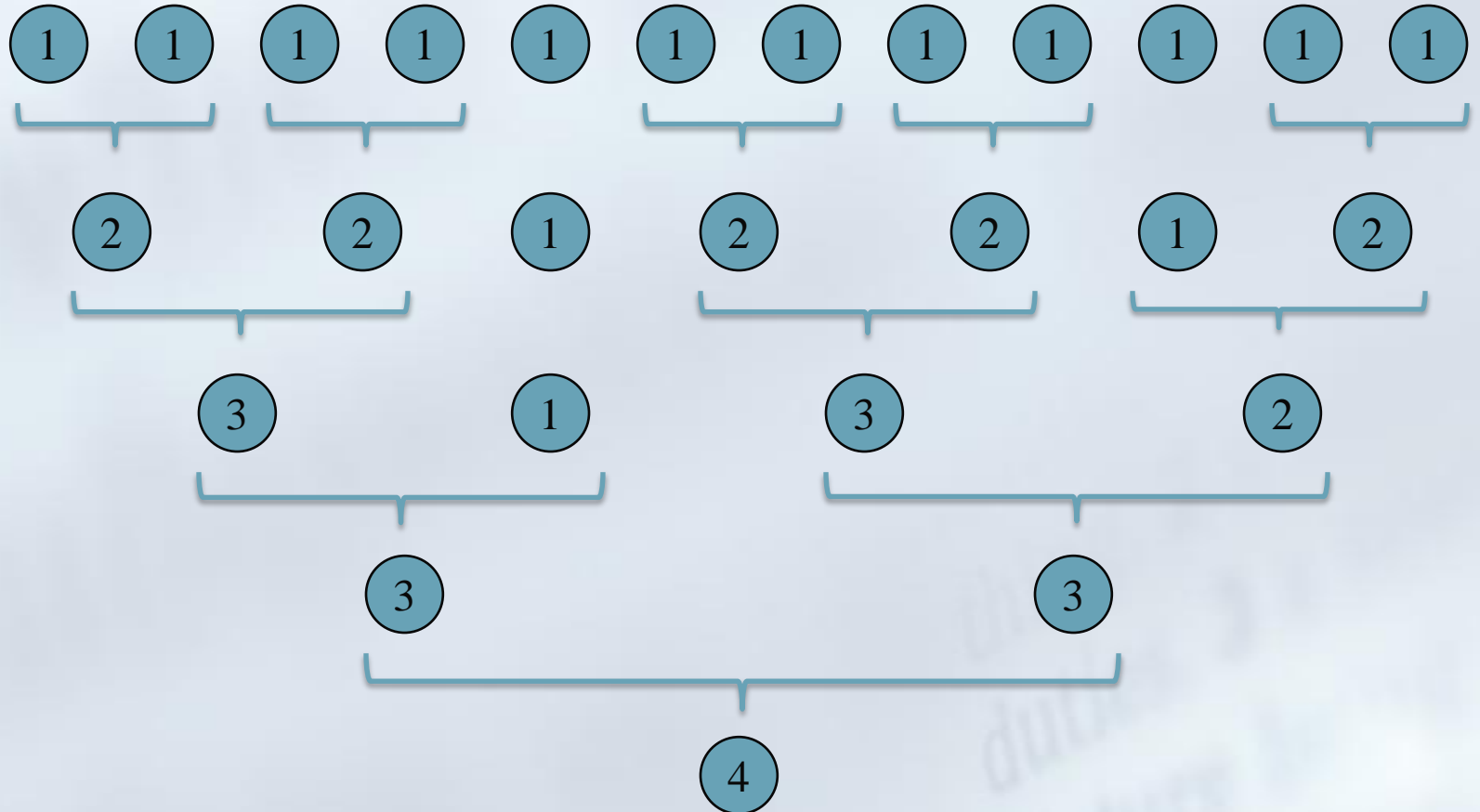
## New One

- LINK(x, y)
  - If Rank[x] > Rank[y]
    - par[y] ← x
  - Else
    - Par[x] ← y
    - If Rank[x] = Rank[y]
      - Rank[y]++

## Old One

- LINK(x, y)
  - par[y] ← x

# Improve One – Union by Rank





# Improve One – Union by Rank

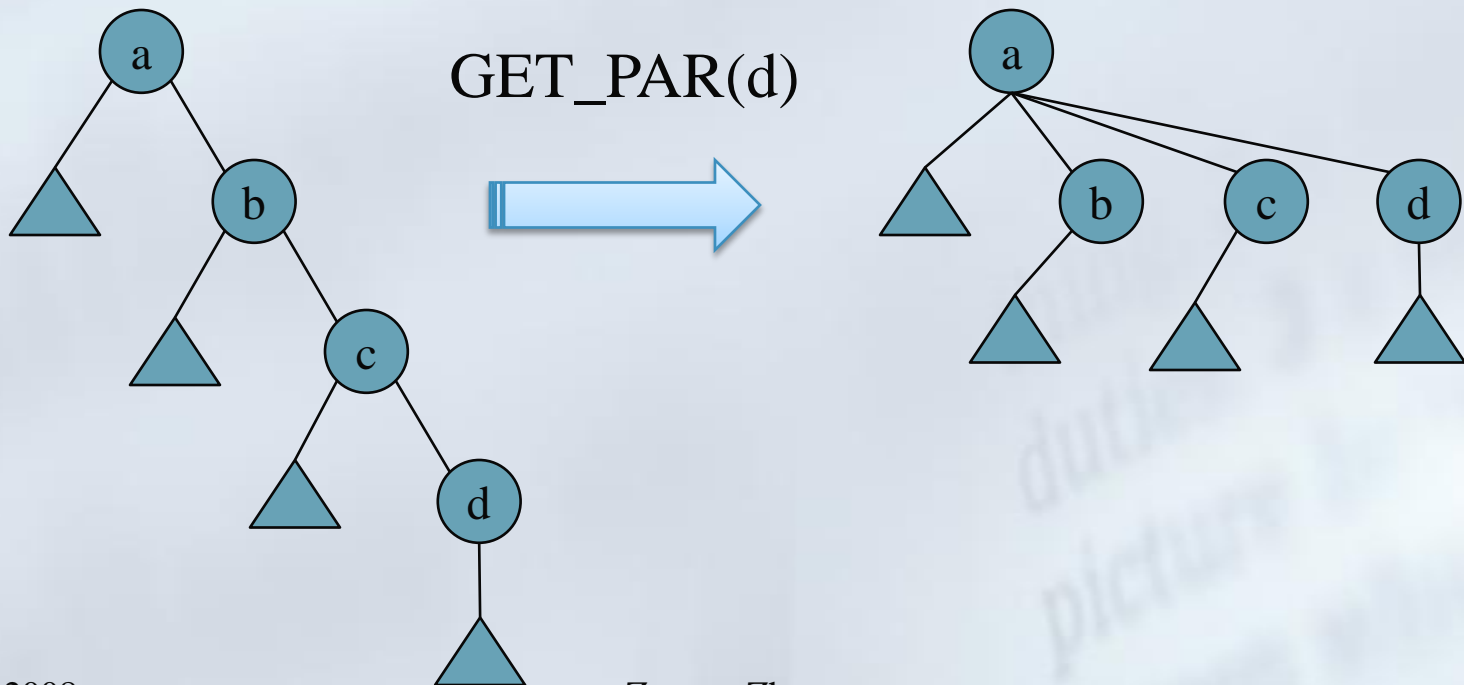
- GET\_PAR(a)
  - If  $\text{Par}[a]=a$ 
    - Return a
  - Else
    - Return GET\_PAR(par[a])
- Query(a,b)
  - Return  $\text{GET\_PAR}(a) == \text{GET\_PAR}(b)$
- Merge(a,b)
  - LINK( GET\_PAR(a), GET\_PAR(b) )

# Improve One – Union by Rank

- $\text{GET\_PAR}(a) - O(\log_2 N)$ 
  - If  $\text{Par}[a]=a$ 
    - Return  $a$
  - Else
    - Return  $\text{GET\_PAR}(\text{par}[a])$
- $\text{Query}(a,b) - O(\log_2 N)$ 
  - Return  $\text{GET\_PAR}(a) == \text{GET\_PAR}(b)$
- $\text{Merge}(a,b) - O(\log_2 N)$ 
  - $\text{LINK}(\text{GET\_PAR}(a), \text{GET\_PAR}(b))$

# Improve Two – Path Compression

- In GET\_PAR method, make each node on the find path directly point to the root
- 将GET\_PAR中查找路径上的节点直接指向根



# Improve Two – Path Compression

## New Code

- GET\_PAR(a)
  - If Par[a] != a
    - Par[a] = GET\_PAR(par[a])
  - Return par[a]

## Old Code

- GET\_PAR(a)
  - If Par[a] = a
    - Return a
  - Else
    - Return GET\_PAR(par[a])



# Complexity

Amortized cost of GET\_PAR operation  $O(a(n))$

GET\_PAR函数的平摊复杂度为 $O(a(n))$

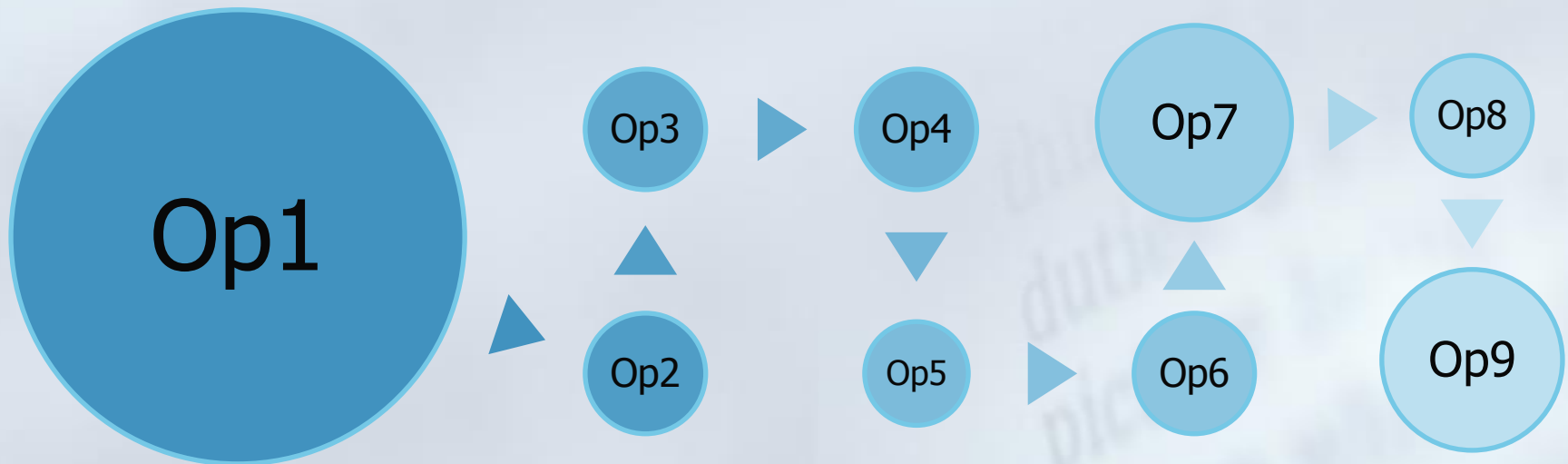
- $a(n) = 0$ , if  $0 \leq n \leq 2$
- $= 1$ , if  $n = 3$
- $= 2$ , if  $4 \leq n \leq 7$
- $= 3$ , if  $8 \leq n \leq 2047$
- $= 4$ , if  $2048 \leq n \leq A_4(1) \approx \left. \begin{matrix} 2 \\ \cdot \\ 2 \\ \cdot \\ 2 \\ \cdot \\ \dots \\ \cdot \\ 2 \end{matrix} \right\} 2048$

# Complexity

Amortized cost of GET\_PAR operation  $O(a(n))$

GET\_PAR函数的平摊复杂度为 $O(a(n))$

- Amortized analysis is a tool for analyzing algorithms that perform a sequence of **similar operations**.
- 平摊分析是一种分析一串类似操作的总体效率的思想



# Practical Use



# Practical Use

```
int get_par(int u) {  
    if (par[u]!=u)  
        par[u] = get_par(par[u]);  
    return par[u];  
}
```

```
int link(int x, int y) {  
    if (rank[x]>rank[y]) par[y]=x;  
    else par[x]=y;  
    if (rank[x]==rank[y])  
        rank[y]++;  
}
```

```
int par[];  
int rank[];
```

```
int query(int a,int b) {  
    return get_par(a)==get_par(b);  
}
```

```
void merge(int a,int b) {  
    link(get_par(a), get_par(b))  
}
```



# Practical Use

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int get_par(int u) {  
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    par[y]=x;  
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int par[];
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```
int par[];
```

```
int query(int a,int b) {  
    return get_par(a)==get_par(b);  
}
```

```
void merge(int a,int b) {  
    par[get_par(a)] = get_par(b);  
}
```

# Practical Use

```
int get_par(int u) {  
    return par[u]==a ? a : par[u]=get_par(par[u]);  
}
```

```
int par[];
```

```
int query(int a,int b) {  
    return get_par(a)==get_par(b);  
}
```

```
void merge(int a,int b) {  
    par[get_par(a)] = get_par(b);  
}
```

# Exercise 银河英雄传说

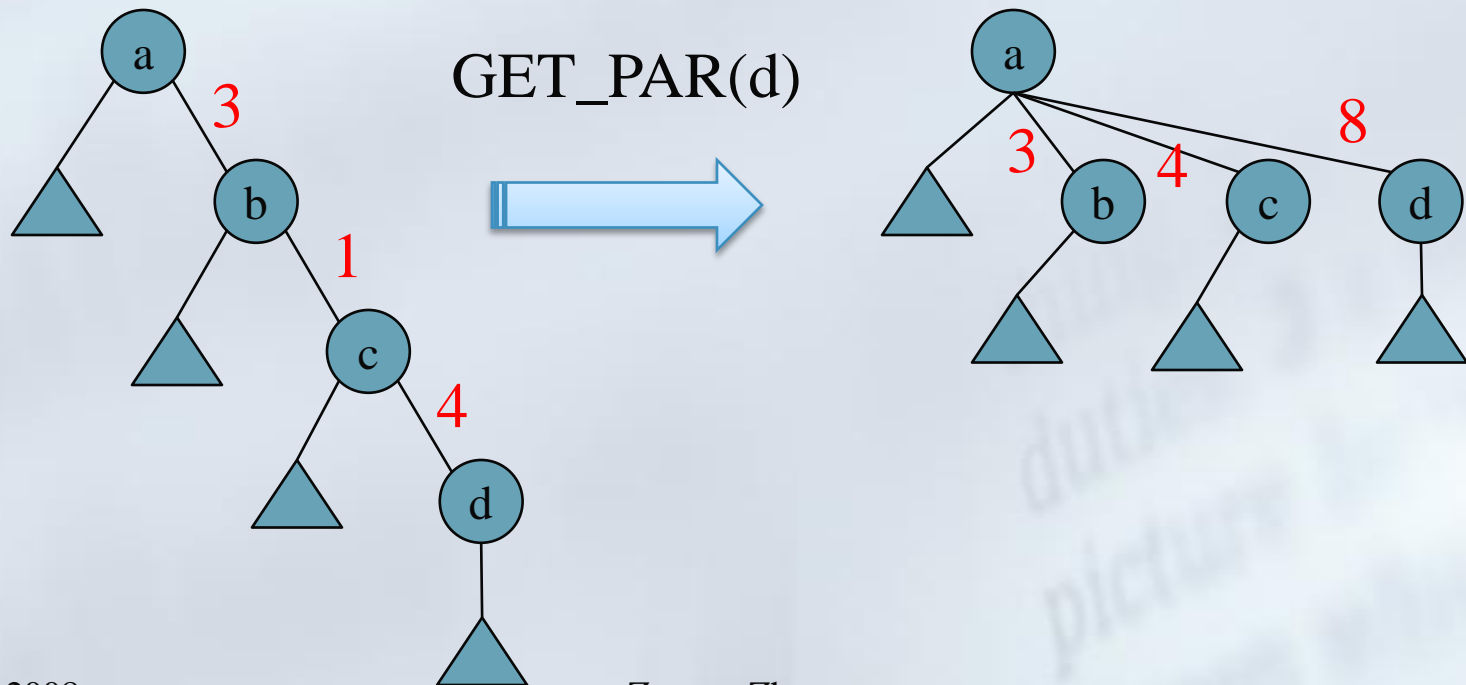
- 题目大意:
- $M_{ij}$ : 让第*i*号战舰所在的整个战舰队列, 作为一个整体(头在前尾在后)接至第*j*号战舰所在的战舰队列的尾部。
- $C_{ij}$ : 询问电脑, 杨威利的第*i*号战舰与第*j*号战舰当前是否在同一列中, 如果在同一列中, 那么它们之间布置有多少战舰。
- National Olympiad in Informatics 2002 天津

# Exercise 银河英雄传说

- 可以把每列划分成一个集合，那么，舰队的合并、查询就是对集合的合并和查询。这就是一个很典型的并查集算法的模型。
- 与普通并查集的区别是，此处需要记录每个点相对当前父节点的相对位置，用来回答查询操作中，两艘之间布置有多少战舰的问题。

# Improve Two – Path Compression

- In GET\_PAR method, make each node on the find path directly point to the root
- 将GET\_PAR中查找路径上的节点直接指向根





# Leftist-Tree 左偏树

——是一个二叉堆

# Lestist Tree 左偏树

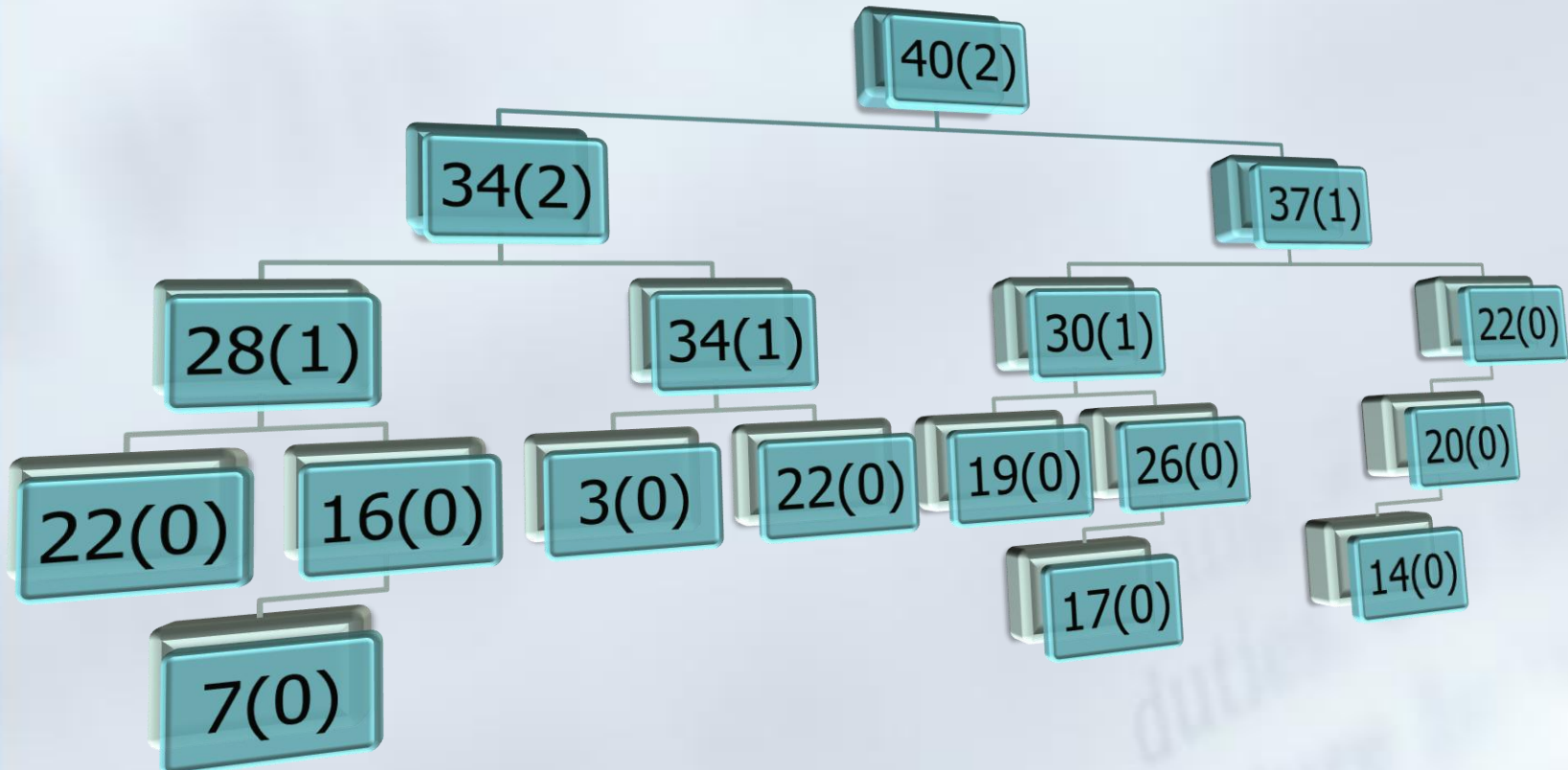
	Classical Heap	Leftist Tree	Binomial Heap	Fibonacci Heap
Initialization	$O(n)$	$O(n)$	$O(n)$	$O(n)$
Insert	$O(\log n)$	$O(\log n)$	$O(\log n)$	$O(1)$
Get Top	$O(1)$	$O(1)$	$O(\log n)$	$O(1)$
Remove Top	$O(\log n)$	$O(\log n)$	$O(\log n)$	$O(\log n)$
Remove Any	$O(\log n)$	$O(\log n)$	$O(\log n)$	$O(\log n)$
<b>Merge</b>	$O(n)$	$O(\log n)$	$O(\log n)$	$O(1)$
Coding Difficulty	Low	Medium	High	Very High



# Definition

- Every node has a count *dist* on the **distance to the nearest external node** (on its own subtree). In addition to the heap property, leftist trees are kept so the right descendant of each node has shorter distance to a leaf.
- 每个结点记录自身子树上**到达最近外结点距离** *dist*。除了堆所具有性质以外，左偏树保证右孩子的dist小于左孩子

# Definition

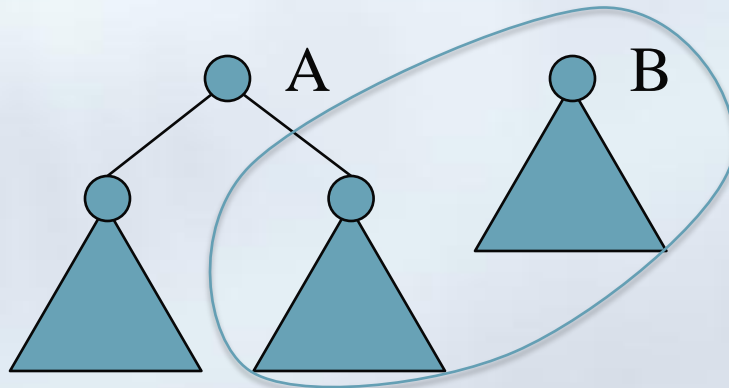


# Merge Operation: Merge(A, B)



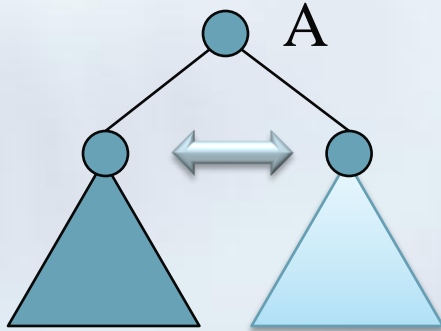
- Simplest case: either tree is empty ( $A=NULL$  or  $B=NULL$ ). Just return the other tree.
- 如果其中一棵树为空，直接返回另一棵。
- If  $A==NULL$  Return B
- If  $B==NULL$  Return A

# Merge Operation: Merge(A, B)



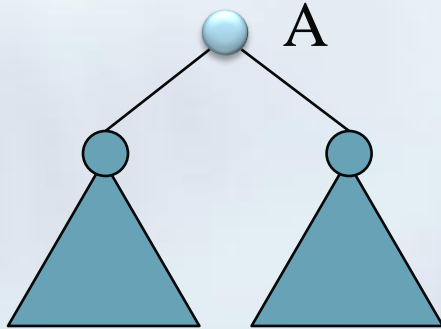
- Suppose A's root has larger *key*. Simply merge B and the right subtree of A.
- 设A根节点键值更大，将A右子树和B合并
- If  $\text{Key}[A] < \text{Key}[B]$  Swap(A,B)
- $\text{Right}[A] \leftarrow \text{Merge}(\text{Right}[A], B)$

# Merge Operation: Merge(A, B)



- Swap Right(A) and Left(A) when necessary
- 当需要时交换Right(A)及Left(A)
- If  $\text{dist}[\text{left}[A]] < \text{dist}[\text{Right}[A]]$ 
  - Swap(left[A],right[A])

# Merge Operation: Merge(A, B)



- Update  $\text{dist}(A)$
- If  $\text{Right}[A] == \text{NULL}$ 
  - $\text{dist}[A] \leftarrow 0$
- Else
  - $\text{Dist}[A] \leftarrow \text{dist}[\text{Right}[A]] + 1$

# Other Operations

- $\text{Insert}(A, x)$ 
  - $\text{Merge}(A, \text{tree of } x)$
- $\text{RemoveTop}(A)$ 
  - $\text{Merge}(\text{Left}[A], \text{Right}[A])$

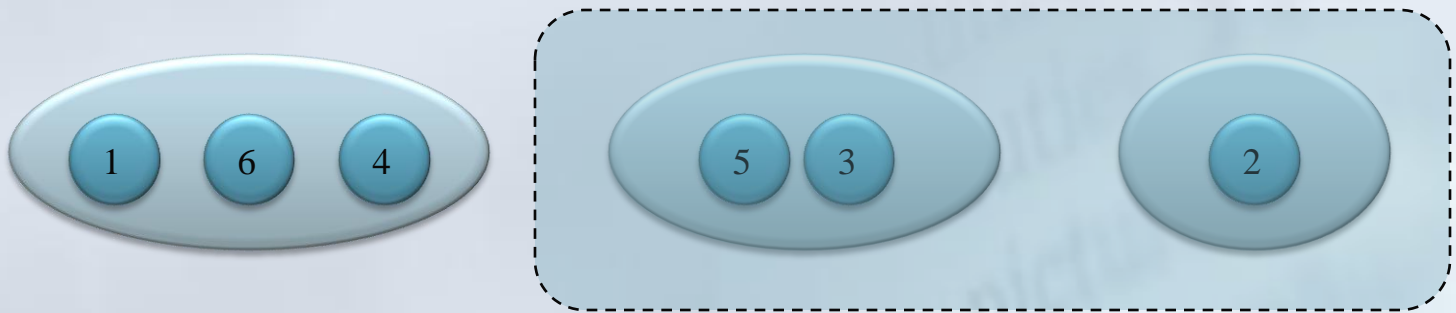
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Remove Any	$O(\log n)$	$O(\log n)$	$O(\log n)$	$O(\log n)$
Merge	$O(n)$	$O(\log n)$	$O(\log n)$	$O(1)$
Coding Difficulty	Low	Medium	High	Very High



# Mixture of Disj-Set & Leftist Tree

- Merge(Node a, Node b)
  - Merge two heaps containing a/b respectively
  - 将包含a/b的两个堆合并
- FindMax (Node a)
  - Acquire the maximum element in the heap of a
  - 求a所在堆中的最大元素



# Applications

- Medical Science: 疾病监控
- Biology: 细菌扩散
- Math: 等价类
- .....

# References

- <http://www.dgp.toronto.edu/people/JamesStewart/378notes/10leftist/>
- Introduction to Algorithms (2<sup>nd</sup> Edition)
- Thanks!
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- 朱泽园 基科62